

Appendix A(1) – Overview of All Risks (Planning & Transportation Committee)

Reference	DBE/PLSV/2	Owner	Department of the Built Environment / Development Division / Development Management					
Risk Title			Procedural errors and/or unsound decisions	Impact	4	Likelihood	3	Risk 18
Risk Description			A decision is made (or advice is given) which is capable of successful challenge (JR/Ombudsman/Appeal etc)					
Further Actions								
Reference	DBE/POLY/1	Owner	Department of the Built Environment / Policy & Performance / Development Plan					
Risk Title			Adverse planning policy context	Impact	3	Likelihood	3	Risk 13
Risk Description			City Corporation not seen to, or unable to, significantly influence general planning policy or transport plan decision makers in London, leading to lack of capacity of the system to service the City. Proposed national relaxation of planning controls over change of use from offices to housing has not been included in the National Planning Policy Framework (March 2012) however separate proposals to relax controls over change of use from hotels to housing are the subject of fresh consultation This risk also applies to the Strategic Transport Team.					
Further Actions								
Reference	DBE/PLSV/5	Owner	Department of the Built Environment / Development Division / Development Management					
Risk Title			Uncertainty of the legislative environment and Central Government advice in respect of Development Management	Impact	3	Likelihood	3	Risk 13
Risk Description			Uncertainty of the legislative environment and Central Government advice in respect of Development Management					
Further Actions								
Reference	DBE/DSUR/2	Owner	Department of the Built Environment / District Surveyor					
Risk Title			Budget Loss over 3/5 year period	Impact	3	Likelihood	3	Risk 13
Risk Description			CIPFA guidance states that the Building Regulations chargeable account should balance over this period.					
Further Actions								

Reference DBE/PLSV/3

Owner Department of the Built Environment / Development Division / Development Management

Risk Title

Not being alive to the needs/requirements of the world financial centre and the political environment **Impact** 4 **Likelihood** 1 **Risk** 11

Risk Description

Not being alive to the needs/requirements of the world financial centre and the political environment

Further Actions

Reference DBE/TPCT/2

Owner Department of the Built Environment / Transportation & Public Realm / City Transportation

Risk Title

Local Implementation Plan (LIP) **Impact** 3 **Likelihood** 2 **Risk** 10

Risk Description

TfL funding for Local Investment Plan ceased or significantly reduced.

Further Actions

Reference DBE/POLY/2

Owner Department of the Built Environment / Policy & Performance / Development Plan

Risk Title

Outdated City development plan policies **Impact** 3 **Likelihood** 2 **Risk** 10

Risk Description

Failure to update City development plan policies in accordance with national and strategic context can reduce the City Corporation's ability to permit appropriate development or refuse inappropriate development. Publication of National Planning Policy Framework (March 2012) provides an updated context for development plan preparation.

Further Actions

Changes to national and strategic policy context in 2012 will require further development plan updating. Examples include changes to neighbourhood planning and CIL funding arrangements.

Development Management Development Plan Document in preparation during 2012-13 will provide an opportunity to update as needed.

Preparation of City CIL will also be progressed during 2012-13.

Reference DBE/PLSV/1

Owner Department of the Built Environment / Development Division / Land Charges

Risk Title

Record keeping - inadequate and/or inaccurate **Impact 3 Likelihood 2 Risk 10**

Risk Description

Data held and used by Local Land Charges is either incorrectly supplied, incorrectly recorded within the team or incorrectly provided to others.

Further Actions

Further work in preparation for migration to a semi-automated Local Land charges Search answering system and publishing Land Charges data on the internet to ensure that the data sets are accurate. Managers responsible for data sets to enter into Service Level Agreements to ensure accuracy.

Reference DBE/DSUR/3

Owner Department of the Built Environment / District Surveyor

Risk Title

The Division becomes too small to be viable **Impact 3 Likelihood 2 Risk 10**

Risk Description

Reduced income resulting in staffing levels that don't provide sufficient breadth of knowledge and experience to offer a viable competitive service.

Further Actions

Reference DBE/DSUR/1

Owner Department of the Built Environment / District Surveyor

Risk Title

Legislation changes **Impact 2 Likelihood 3 Risk 8**

Risk Description

The government are looking at all regulatory functions to relieve the burden on industry. Any changes to the remit of the Building Regulations will affect the financial viability of the Division.

Further Actions

Reference DBE/POLY/4

Owner Department of the Built Environment / Policy & Performance / Monitoring & Information

Risk Title Incorrect data provided on Public Access Map **Impact** 2 **Likelihood** 2 **Risk** 5

Risk Description Public Access Map is widely used internally and an extract is provided to the public on request. It has been generated following a long series of site-specific investigations but is still imperfect as for some sites the situation on the ground and in documents is not clear. Property lawyers often seek certainty and may challenge the extract provided.

Further Actions Map needs to retain its existing caveat with scope for re-classification in response to counter-evidence from third parties.

Need to continue improving mechanisms for change reporting with other teams / sections / departments

Reference DBE/POLY/3

Owner Department of the Built Environment / Policy & Performance / Monitoring & Information

Risk Title Data submission failures **Impact** 2 **Likelihood** 2 **Risk** 5

Risk Description Data updates not submitted to gazetteer hub or Mayor in accordance legal agreements. City gazetteer updates to the national hub are a legal requirement as part of the national Public Sector Mapping Agreement. Street gazetteer updates (for Associated Street Data) have been delayed while Highways Section have acquired suitable software. City development pipeline updates to the Mayor are required under the GLA Information Scheme. Failure to provide such updates can incur financial penalties.

Further Actions Monthly street gazetteer updates with improvements in associated street data have commenced and will improve in quality during 2012 as the Highways Section master their new software.

Reference DBE/PLSV/4

Owner Department of the Built Environment / Development Division / Development Management

Risk Title Failing to take appropriate enforcement action **Impact** 2 **Likelihood** 2 **Risk** 5

Risk Description Failing to take appropriate enforcement action, not responding appropriately to complaints leading to an unsatisfactory environment and amenity.

Further Actions
